Dosimetric Comparison of Intensity-Modulated Radiotherapy and Volumetric Arc Therapy for Rectal Cancer

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OBJECTIVE
The aim of the present study is the dosimetric comparison of intensity-modulated radiation therapy and volumetric arc therapy (VMAT) that are currently applied in the preoperative radiotherapy of locally advanced rectal cancer.

METHODS
Ten patients with locally advanced rectal cancer were recontoured according to defined protocol on computed tomography simulation that was previously scanned. Dosimetric comparison was performed for each patient with 7 and 9 fields intensity-modulated radiation therapy and VMAT. Compared dosimetric parameters were determined as doses of organs at risk, the total duration of treatment, target coverage, conformity index, homogeneity index, and the total monitor unit (MU).

RESULTS
All plans provided comparable dosimetric parameters for target volumes. Arc plans demonstrated a statistically significant benefit with lower doses on V15 and Dmax of the small bowel than intensity-modulated radiation therapy. Arc plans were obviously superior relating to measured volumes of the whole body, and plans with 7 field had the worst results. In addition, the reduction in total treatment time by approximately 60% was achieved in arc plans.

CONCLUSION
VMAT with short treatment duration and low MUs can be considered as providing a more comfortable and qualified treatment.

Keywords: Intensity-modulated radiotherapy; preoperative chemoradiotherapy; rectal cancer; volumetric arc therapy.

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Introduction
Neoadjuvant chemoradiotherapy (CRT) has become the standard therapy to obtain the desired results in patients with locally advanced (T3/T4) and lymph node involvement (stage II–III) rectal cancer with regard to local control and cure. Many studies have shown the superiority of preoperative radiotherapy in reducing the risk of local recurrence and toxicity compared with postoperative radiotherapy.[1-3] However, acute and chronic
intestinal toxicities caused by preoperative treatment are still the most important causes of morbidity.[4]

The relationship between specific dose–volume constraints and organ toxicity has been known.[5-6] The small bowel is a radiosensitive organ as acute radiation enteritis occurs in many patients undergoing radiotherapy for rectal cancer. Many studies showed that the incidence of both acute and late effects is directly related to the maximum dose and total volume of irradiated bowel.[7,8] Grade 3–4 acute toxicity was reported in up to 23% of patients treated with preoperative radiotherapy with concurrent chemotherapy, increasing to 37% with doses >50 Gy to the pelvis.[9,10]

Accordingly, highly conformal radiation therapy planning and delivery techniques, such as intensity-modulated radiotherapy (IMRT) and volumetric arc therapy (VMAT), that allows for a reduction of high doses to organs at risk (OARs), without compromising target coverage, are being investigated. Therefore, there are several studies comparing the inverse planning system with different IMRT and VMAT techniques, and the clinical implications of the results are still unclear. [11,12] Thus, the aim of the present study was to compare the OARs sparing without compromising the target coverage among 7 and 9 fields IMRT and double-arc VMAT prospectively.

Materials and Methods

Patient Groups

Ten patients (5 women and 5 men) with locally advanced rectal cancer treated with the indication of preoperative CRT/radiotherapy in Okmeydani Training and Research Hospital between 2012 and 2015 were observed. The median age of the patients was 55 (min–max 33–69) years. Tumor length varied between 2 and 10 cm, with median lengths of 6 cm. All patients had stage III (cT3N+) disease.

Monitoring, Target Volume Determination, and Dose Prescription

All patients were stabilized in a prone position using a carbon-fiber belly board. The planning computed tomography (CT) scanned at a slice thickness of 3 mm was transferred to the Eclipse 10.0 treatment planning system. Positron emission tomography–CT and/or magnetic resonance imaging images recorded were matched using fusion algorithms to determine target volume. Treatment volumes were recalibrated according to the Radiation Therapy Oncology Group (RTOG) consensus of conformal contouring atlas for anorectal cancer published online in 2008.[13] Fullness or empty bladder was not implemented. The OARs were bladder, right and left femur heads, and small bowel. The dose to the OARs at least complied with the following constraints: bladder ≥65 Gy in ≤50% volume, small bowel (peritoneal cavity) ≥45 Gy in ≤195 cc volume, and femur heads ≥40 Gy in ≤10% volume.

Planning Techniques and Objectives

Planning target volumes (PTV1 and PTV2) were planned using the Eclipse 10.0 treatment planning system on the Trilogy linac and Millennium 120 MLC system using the simultaneous single boost method. The prescribed doses were 45 Gy to the PTV2 and 50.4 Gy simultaneous to the PTV1 in 25 fractions. Three plans were performed for each patient, including 7 field IMRT (IMRT7), 9 field IMRT (IMRT9), and double-arc VMAT (ARC). The maximum dose rate was optimized to 600 MU/min. 6 MV photons were used in all plans.

Plan optimization is defined as taking 100% of the prescription dose covered by at least 95% of the PTV. The values of \( D_{98\%} \) (dose received by 98% of the PTV) and \( D_{2\%} \) (dose received by 2% of the PTV) for PTV were determined as the minimum and maximum doses (\( D_{\text{mean}} \) and \( D_{\text{max}} \)) according to the International Commission on Radiation Units and Measurements 83. The conformity of the plans was evaluated with a conformity index (CI) defined as the ratio of the target volume receiving 95% of the prescribed dose divided by the total volume receiving that dose level. The heterogeneity of the plans was measured with regard to the homogeneity index (HI), which was expressed as \( (D_{2\%} - D_{98\%}) / D_{50\%} \). The Eclipse system was not able to calculate the estimated treatment time per fraction. Therefore, monitor unit (MU) values were used in VMAT plans to compare treatment times (“beam on”). The duration of treatment was determined by the ratio of the total MU to the maximum dose rate (MU/dose rate). In IMRT plans, “beam on” times were obtained from the system. Plan Quality Assurance (QA) was performed for total treatment periods. Data were obtained using dose–volume histograms (DVHs). Anisotropic Analytical Algorithm (version 10.0.028) was used as the planning algorithm, and Dose Volume Optimizer (version 10.0.028) was used for optimization algorithm.

1. IMRT Plans

The IMRT plans were calculated using seven fixed gantry angles (0°, 52°, 104°, 154°, 208°, 260°, and 312°) and nine fixed gantry angles (0°, 41°, 82°, 123°, 164°, 205°, and 328°).
2. VMAT Plans
Each plan with double arc consisted of two complete arcs set from 181° to 179° and from 179° to 181° (clockwise and counterclockwise), respectively. The collimator angles were defined as 30° and 330° for all plans.

Organ at Risk
OAR for each plan was evaluated by the following: $V_{<12}$ (volume receiving <12 Gy), $V_{15}$, $D_{\text{min}}$, and $D_{\text{max}}$ for small bowel; $V_{30}$, $V_{40}$, and $D_{\text{mean}}$ for bladder; $D_{15}$, $V_{30}$, $D_{\text{max}}$, and $D_{\text{mean}}$ for each femoral head; and $V_{10}$, $V_{20}$, $V_{30}$, and $V_{40}$ for normal tissue that excluded PTV2 from the whole body (NTV).

Statistical Analysis
All dosimetric results from different irradiation techniques were compared with each other. Repeated measures analysis of variance was used for comparison of plans. Bonferroni correction was used for post hoc analysis. Intraclass correlation coefficient was used to determine the correlation between measurements. A $p$-value of <0.05 was considered statistically significant.

Results
The statistical dosimetric evaluation and comparison of the three planning techniques are listed in Table 1.

Target Coverage and Dose Distribution
The mean volume of the PTV was 1452.9±115.1 cc, the minimum was 286.9 cc, and the maximum was 603.6 cc. For PTV1, IMRT9 achieved better HI than IMRT7 and ARC ($p=0.026$). Although $D_{98\%}$ was higher for IMRT9 than for ARC ($p=0.001$), there were no

Table 1  Dosimetric results for PTV and OARs

<table>
<thead>
<tr>
<th></th>
<th>IMRT7</th>
<th>IMRT9</th>
<th>ARC</th>
<th>IMRT7 vs IMRT9</th>
<th>IMRT7 vs ARC</th>
<th>IMRT9 vs ARC</th>
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<tr>
<td><strong>PTV1 volume (cc)</strong></td>
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<tr>
<td>$D_{2%}$ (Gy)</td>
<td>52.93±0.16</td>
<td>52.76±0.23</td>
<td>52.88±0.18</td>
<td>n</td>
<td>n</td>
<td>n</td>
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<tr>
<td>$D_{95%}$ (Gy)</td>
<td>50.10±0.19</td>
<td>50.19±0.13</td>
<td>49.97±0.11</td>
<td>0.043*</td>
<td>n</td>
<td>0.020*</td>
</tr>
<tr>
<td>$D_{\text{mean}}$ (Gy)</td>
<td>51.54±0.12</td>
<td>51.43±0.13</td>
<td>51.52±0.12</td>
<td>n</td>
<td>0.002**</td>
<td>n</td>
</tr>
<tr>
<td>$V_{30%}$ (%)</td>
<td>99.85±0.07</td>
<td>99.93±0.05</td>
<td>99.94±0.00</td>
<td>n</td>
<td>n</td>
<td>n</td>
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<tr>
<td>HI</td>
<td>0.054±0.005</td>
<td>0.050±0.005</td>
<td>0.056±0.003</td>
<td>0.031*</td>
<td>n</td>
<td>n</td>
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<tr>
<td>CI</td>
<td>0.994±0.004</td>
<td>1.013±0.034</td>
<td>0.995±0.003</td>
<td>n</td>
<td>0.024*</td>
<td>n</td>
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<tr>
<td><strong>Small bowel volume (cc)</strong></td>
<td></td>
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<tr>
<td>$V_{&lt;12}$ (cc)</td>
<td>43.5±15.8</td>
<td>44.0±9.4</td>
<td>45.9±11.4</td>
<td>n</td>
<td>n</td>
<td>n</td>
</tr>
<tr>
<td>$V_{15}$ (cc)</td>
<td>57.8±11.8</td>
<td>50.8±8.9</td>
<td>49.7±12.1</td>
<td>n</td>
<td>0.030*</td>
<td>n</td>
</tr>
<tr>
<td>$V_{30}$ (cc)</td>
<td>30.9±21.9</td>
<td>17.5±7.4</td>
<td>22.5±18</td>
<td>n</td>
<td>n</td>
<td>n</td>
</tr>
<tr>
<td>$D_{\text{mean}}$ (Gy)</td>
<td>16.48±2.73</td>
<td>16.93±2.97</td>
<td>16.24±3.09</td>
<td>n</td>
<td>n</td>
<td>0.035*</td>
</tr>
<tr>
<td>$D_{\text{max}}$ (Gy)</td>
<td>48.21±2.40</td>
<td>48.65±2.20</td>
<td>49.04±1.96</td>
<td>n</td>
<td>n</td>
<td>n</td>
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<tr>
<td><strong>Bladder volume (cc)</strong></td>
<td></td>
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</tr>
<tr>
<td>$V_{30}$ (cc)</td>
<td>35.2±6.8</td>
<td>28.9±8.8</td>
<td>26.3±3.8</td>
<td>0.031*</td>
<td>0.020*</td>
<td>n</td>
</tr>
<tr>
<td>$V_{40}$ (cc)</td>
<td>13.2±4.3</td>
<td>12.2±4.9</td>
<td>11.2±3.6</td>
<td>n</td>
<td>n</td>
<td>n</td>
</tr>
<tr>
<td>$D_{\text{mean}}$ (Gy)</td>
<td>27.52±1.84</td>
<td>27.11±2.06</td>
<td>24.83±1.82</td>
<td>n</td>
<td>n</td>
<td>n</td>
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<tr>
<td><strong>Femur heads R. volume (cc): 173.55±35.53 (118.9-228.7) L. volume (cc)</strong></td>
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<tr>
<td>R. $D_{\text{mean}}$ (Gy)</td>
<td>16.76±2.03</td>
<td>13.17±0.92</td>
<td>12.37±1.33</td>
<td>0.001*</td>
<td>0.001*</td>
<td>n</td>
</tr>
<tr>
<td>R. $V_{30}$ (cc)</td>
<td>47.36±2.45</td>
<td>45.07±2.86</td>
<td>38.94±2.48</td>
<td>n</td>
<td>0.001*</td>
<td>0.001*</td>
</tr>
<tr>
<td>R. $V_{40}$ (cc)</td>
<td>11.1±3.4</td>
<td>5.6±2.2</td>
<td>2.4±0.7</td>
<td>0.001*</td>
<td>0.001*</td>
<td>0.004*</td>
</tr>
<tr>
<td>R. $D_{15}$ (Gy)</td>
<td>28.26±2.16</td>
<td>23.65±1.23</td>
<td>22.42±1.22</td>
<td>0.001*</td>
<td>n</td>
<td>n</td>
</tr>
<tr>
<td>L. $D_{\text{mean}}$ (Gy)</td>
<td>15.77±2.14</td>
<td>13.02±1.13</td>
<td>11.90±1.13</td>
<td>0.003*</td>
<td>0.001*</td>
<td>0.004*</td>
</tr>
<tr>
<td>L. $D_{95}$ (Gy)</td>
<td>47.94±1.93</td>
<td>43.44±4.62</td>
<td>38.73±3.24</td>
<td>0.022*</td>
<td>0.001*</td>
<td>0.017*</td>
</tr>
<tr>
<td>L. $V_{30}$ (cc)</td>
<td>9.1±3</td>
<td>5.1±2.6</td>
<td>2.5±1.2</td>
<td>0.001*</td>
<td>0.001*</td>
<td>0.023*</td>
</tr>
<tr>
<td>L. $D_{15}$ (Gy)</td>
<td>26.65±1.67</td>
<td>23.51±1.20</td>
<td>22.54±0.87</td>
<td>0.005*</td>
<td>0.001*</td>
<td>n</td>
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<tr>
<td><strong>Normal tissue</strong></td>
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<tr>
<td>$V_{10}$ (cc)</td>
<td>20.8±3.6</td>
<td>21.8±4</td>
<td>21.4±4.2</td>
<td>0.004*</td>
<td>n</td>
<td>n</td>
</tr>
<tr>
<td>$V_{20}$ (cc)</td>
<td>13.8±2.5</td>
<td>11.9±2</td>
<td>9.8±1.5</td>
<td>0.001*</td>
<td>0.001*</td>
<td>0.001*</td>
</tr>
<tr>
<td>$V_{30}$ (cc)</td>
<td>5±0.7</td>
<td>4.6±0.6</td>
<td>3.9±0.5</td>
<td>0.003*</td>
<td>0.001*</td>
<td>0.001*</td>
</tr>
<tr>
<td>$V_{40}$ (cc)</td>
<td>1.9±0.3</td>
<td>1.9±0.3</td>
<td>1.5±0.2</td>
<td>n</td>
<td>0.001*</td>
<td>0.001*</td>
</tr>
</tbody>
</table>

*Letter showed when comparison of both tested groups was significantly different ($p<0.05$). $p$-value of n means not statistically significant.
significant differences between all plans on CI values ($p=0.188$). For PTV2, there was no difference for all the evaluated dosimetric parameters (Table 1). Dose distributions of the three planning techniques for two patients in axial slices are shown in Fig. 1.

**Small Bowel and Bladder**

The mean volume of the small bowel was $822.2 \pm 340.7$ (430.2–1394.3) cc. There were no significant differences between all three plans on $V_{15}$, $V_{30}$, and $D_{\text{max}}$. However, $V_{15}$ and $D_{\text{mean}}$ were lower for ARC than for IMRT7 and IMRT9.

![Fig. 1](image_url)  
**Fig. 1.** In two patients, comparative dose distributions in IMRT7, IMRT9, and ARC in axial slices, respectively.
IMRT9, respectively (ARC–IMRT7, p=0.030 and ARC-
IMRT9, p=0.035). The volume of the bladder ranged
from 62.6 to 517 cc with a mean of 180.5±129.7 cc. The
results for plans were comparable, but $V_{30}$ was lower for
IMRT7 than for both IMRT9 and ARC (p=0.031 and
p=0.02, respectively) (Table 1).

**Femur Heads**

$V_{40}$ for femur heads in each of three plans were ex-
cluded from the analysis because of detecting 40Gy on
DVHs only linearly. In general, IMRT7 revealed the
highest irradiated volumes, whereas IMRT9 and ARC
could achieve comparably better results (Table 1).

**Normal Tissue**

$V_{10}$, $V_{20}$, $V_{30}$, and $V_{40}$ were evaluated for normal tissue
that excluded PTV2 from the whole body (Table 1).
The results for ARC were obviously superior relating to
measured volumes, and IMRT7 plans had the worst
results (ARC<IMRT9<IMRT7).

**MUs and Durations of Treatment**

ARC plans had the lowest MU values, as expected
(p=0.01). There was no significant difference between
the “beam on” times. However, when the total treat-
ment time was considered, the superiority of ARC
plans was observed according to data obtained with
QA. The mean treatment periods were measured as
6.83±0.61 min in IMRT7, 8.21±0.74 min in IMRT9,
and 3.09±0.31 min in ARC.

**Discussion**

Dosimetric benefits of IMRT and VMAT compared
with three-dimensional conformal radiation therapy
(3DRT) for preoperative treatment of rectal cancer are
well established. These highly conformal radiation ther-
apy planning and delivery techniques are based on the
delivery of highly modulated dose fluence from multi-
ple directions to limit high-dose volumes outside the
treatment target. In this way, concave/convex isodose
lines can be formed. This advantage means a reduction
of high doses to OARs, creating lower acute and late
toxicity expectations in clinical implication. There are
several prospective studies using IMRT/VMAT for pre-
operative radiotherapy in rectal cancer. However, stud-
ies demonstrating clinical benefits are limited to phase
I/II, and late toxicity data are few. The primary reason
of using these technologies is to decrease acute and
late toxicities for treatment tolerability and long-term
quality of life. Radiation enteritis is the most common
acute toxicity and occurs in many patients undergoing
radiotherapy for rectal cancer. Grade 3–4 acute toxic-
ity was reported in up to 23% of patients treated with
preoperative CRT, increasing to 37% with doses >50
Gy.[9,10] These data suggested that the small bowel
volume receiving 15 Gy ($V_{15}$) is strongly associated
with the degree of toxicity.[9] In addition, Robertson et
al.[14] showed that $V_{15}$, $V_{30}$, and $V_{40}$ are associated
with grade 3–4 diarrhea. Urbano et al.[15] reported a 64%
reduction in intestinal volume by 45–50 Gy with IMRT
compared with 3DRT in dosimetric analysis with pa-
tients with rectal cancer simulated in the prone posi-
tion with a full bladder. In their study, three different
IMRT schemes, as 5, 7, and 9 fields IMRT, were com-
pared. Although the superiority of IMRT to 3DRT was
shown, no significant difference was found in the effect
of field count on the irradiated intestinal volume. In our
study, although there was a decrease in the average $V_{15}$
and $V_{30}$ values in IMRT9 compared with IMRT7 plans,
there were no significant differences ($V_{15}$, p=0.067 and
$V_{30}$, p=0.107). ARC showed superior dosimetric results
to IMRT7 on $V_{15}$ and to IMRT9 on $D_{mean}$ (p=0.030 and
p=0.035, respectively).

Moreover, there are several studies that have focused
on treatment intensification by using different CRT
regimens with the aim of limiting treatment-induced
toxicity using IMRT.[16-19] RTOG 0822 that aimed
a 12% reduction of grade 2 and over gastrointestinal
(GI) toxicity with IMRT applied to neoadjuvant CRT
(concurrent capecitabine 825 mg/m² BID and 5 cycles
of oxaliplatin 50 mg/m² weekly) compared with RTOG
0247 applied with 3DRT showed a 51.5% rate of grade
≥2 GI toxicity, which exceeded the observed rate of
40% in RTOG 0247.[19] Thus, the volume of the bowel
receiving low-dose radiotherapy (e.g., 15 Gy) may be
more important when using multi-agent chemother-
apy, suggesting that low-dose constraints may need
to be more compelling to produce a clinically optimal
plan.

When the bladder tolerance doses are taken into
account, dose prescriptions applied as preoperative for
rectal cancer do not mean a significant risk for bladder
toxicity. However, a volumetric or dosimetric threshold
that can be associated with acute and late side effects
in rectal cancer has not been established. Wolff et al.
stated in 2011, in that compared proton, VMAT, IMRT,
and 3DRT in patients with locally advanced rectal can-
cer, that only $V_{40}$ volumes for bladder are statistically
significantly higher in IMRT than in VMAT plans.[20]
In the same year, a similar comparison was published
by Cilla et al.[13] in which $V_{15}$, $V_{30}$, $V_{40}$, $V_{50}$, $V_{55}$, and
$D_{max}$ analyses were performed for the bladder. How-
ever, PTV1 was defined as 57.5 Gy. Although lower doses were obtained for the bladder in VMAT plans, no significant differences were found. In contrast with the literature, in our study for the bladder, $V_{30}$ was significantly lower for IMRT7 than for IMRT9 ($p=0.031$) and ARC ($p=0.02$). These results could be caused by the used number of segments, different dose intensities on each fields, or prone position.

One of the important parts of pelvic radiotherapy with regard to late toxicity is the femur heads because of its function. Dose–response relationship is not known but is more frequent at higher doses of 40 Gy. In the present study, ARC showed superior dosimetric results to IMRT. In addition, when IMRT plans are assessed within themselves, the superiority of IMRT9 plans is emphasized in all parameters. This may be due to the increased dose intensity compared with IMRT9 and because of non-coplanar beams (104° and 260°) used in IMRT7.

Although IMRT and VMAT have defined dosimetric advantages, clinical reflections have yet to be demonstrated. Both techniques limit the high-dose areas obtained by normal tissues when compared with 3DRT, whereas the low-dose areas increase. This may lead to an increased risk of radiation-related cancer formation due to DNA mutations and carcinogenesis that increase in low- and moderate-dose values. As we assess the dosimetric comparison of normal tissue doses, $V_{20}$, $V_{30}$, and $V_{40}$ volumes were significantly lower in ARC plans (IMRT7>IMRT9>ARC). The number of field was only significant at lower doses (10 Gy), and $V_{10}$ was better in IMRT7 than in IMRT9 plans ($p=0.004$ and $p<0.01$, respectively).

The prolonged treatment increases the uncertainty due to the patient's movement, and it has been stated that IMRT was successful in reducing the volume of irradiated bowel with prone position in many studies.[21,22] Uncertainties during interfraction and intrafraction can cause the target dose to decrease below the desired dose due to sharp dose drops close to large target volumes at IMRT and VMAT. Considering all this, it can be said that VMAT’s most important superiorities against IMRT are short duration of treatment and low MU values. VMAT resulted in a reduction of up to 60% in mean total treatment time (IMRT7 6.83±0.61 min, IMRT9 8.21±0.74 min, and ARC 3.09±0.31 min).

Conclusion

VMAT with short treatment duration and low MU values can be considered as providing a more comfortable and qualified treatment for patients with rectal cancer. The superiority obtained in OAR may not be meaningful because of the uncertainty in clinical manifestations. There is a need for phase III dosimetric studies to be performed with more patients and clinical observation.

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Conflict of Interest: None declared.

Ethics Committee Approval: This study was conducted in accordance with local ethical rules.

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References


